



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: JEON et al.

Serial No.: 09/722,776

Examiner: Navarro, A.M.

Date Filed: November 27, 2000

Art Unit: 1645

For: NOVEL ENDONUCLEASE OF IMMUNE CELL, PROCESS FOR PRODUCING
THE SAME AND IMMUNE ADJUVANT USING THE SAME

TRANSMITTAL LETTER

Box RESPONSES - NO FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find enclosed the following for filing:

- ☒ Copy of Notice of Non-Compliant Amendment (37 CFR 1.121)
- ☒ Appendix "B" (Marked up version of Claims Showing Amendments)
- ☒ (1) Return postcard

This Response is believed to be timely filed. The Commissioner is hereby authorized to charge any underpayment or credit any overpayment of fees to Deposit Account No. 50-0951. This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Robert J. Sacco, Reg. No. 35,667
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CERTIFICATE UNDER 37 CFR 1.8(a). I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

5/8/03

Robert J. Sacco, Reg. No. 35,667

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/722,776	11/27/2000	Joong Yeong Jeon	9250-2	4908

7590 05/01/2003

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EXAMINER

NAVARRO, ALBERT MARK

ART UNIT PAPER NUMBER

1645

DATE MAILED: 05/01/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
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Paper 1

Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment filed on 4-28-03 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000). In order for the amendment to be compliant, applicant must supply the following omissions or corrections in response to this notice.

THE FOLLOWING ITEMS ARE REQUIRED FOR COMPLIANCE WITH RULE 1.121 (APPLICANT NEED NOT RE-SUBMIT THE ENTIRE AMENDMENT):

- ☐ 1. A clean version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(ii).
- ☐ 2. A marked-up version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(iii).
- ☐ 3. A clean version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(i).
- ☒ 4. A marked-up version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(ii).

Explanation: APPENDIX B. MISSING-

(LIE: Please provide specific details for correction to assist the applicant. For example, the clean version of claim 6 is missing.)

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/dcom/olia/pbg/sampleaf.pdf>. A condensed version of a sample amendment format is attached.

- ☐ **PRELIMINARY AMENDMENT:** Unless applicant **supplies the omission or correction** to the preliminary amendment in compliance with revised 37 CFR 1.121 noted above within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
- ☐ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above-mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to **supply the omission or correction noted above** in order to **avoid abandonment**. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

Jason Smallwood
Legal Instruments Examiner (LIE)

(703) 306-4085

(Rev. 12/01)

5/6/03 - Spoke to Ms. Smallwood: We have 1 month from above date to send in missing document.
JH